



PATENT  
ATTORNEY DOCKET NO. 06651/008001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David Corboy  
Serial No.: 08/866,857  
Filed : 5/30/97  
Title : ENCAPSULATED DOCUMENT AND FORMAT SYSTEM

Art Unit: 2412  
Examiner:

Assistant Commissioner for Patents  
Washington, DC 20231

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE CODE	VALUE DEPOSITED

Attention: Box Missing Part

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND REQUEST TO CORRECT FILING RECEIPT

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed October 17, 1997 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.  
Payment of the surcharge of \$130.00 for late filing of the declaration.

Applicant also requests correction of the official filing receipt, and has provided a marked-up copy of the filing receipt, indicating the following corrections to be made:

Please add the inventor's city, state information: David Corboy, Newport Beach, CA.

02/05/1998 JTTPETT 00000009 DHH:061050 08866857  
01 FC:576 25.00 CH

Date of Deposit 12/2/97  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

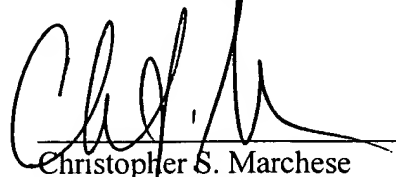
Lisa Barber

LBa Barber

It is understood that this perfects the application and no additional papers or filing fees are required.

If there are any other charges, or any credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

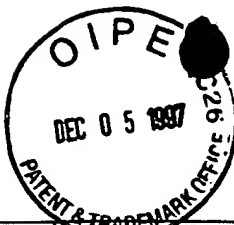
A handwritten signature in black ink, appearing to read 'Chris Marchese', written over a horizontal line.

Christopher S. Marchese  
Reg. No. 37,177

Date: 12/2/97

Fish & Richardson P.C.  
4225 Executive Square, Suite 1400  
La Jolla, CA 92037  
Telephone: 619/678-5070  
Facsimile: 619/678-5099  
46744.LJ1

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/866,857	05/30/97	2412	\$1,310.00	06651/008001	8	30	7

CSM  
FISH AND RICHARDSON  
SUITE 1400  
4225 EXECUTIVE SQUARE  
LA JOLLA CA 92037

RECEIVED

DEC 24 1997

FISH & RICHARDSON, P.C.  
LA JOLLA CA

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

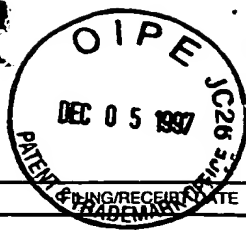
Applicant(s)

DAVID CORBOY, *Newport Beach, CA*

FOREIGN FILING LICENSE GRANTED 10/16/97  
TITLE  
ENCAPSULATED DOCUMENT AND FORMAT SYSTEM

PRELIMINARY CLASS: 395

Processing Receipt
Received by: <i>JCD</i>
Title: <i>ENCAPSULATED DOCUMENT AND FORMAT SYSTEM</i>
Date: <i>12/24/97</i>
Signature: <i>[Signature]</i>
Assistant Secretary



SECTOR A  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

08/866,857 05/30/97 CORBOY D 06651/000001

CSM 0262/1017  
FISH AND RICHARDSON  
SUITE 1400  
4225 EXECUTIVE SQUARE  
LA JOLLA CA 92037  
NOT ASSIGNED

DATE MAILED: 2412

10/17/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ 130.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 110.00, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:  
☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:  
☐ - missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:  
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ 130.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/12/1998 DBEACH 00000617 08866857 130.00 FIP  
01 FC-105 6741

12/17/97  
4/17/98  
B

2M TFMP  
10/17/97  
12/17/97  
11/17/98  
JCO